



DTC Request Form

Instructions: Use this form to deliver DTC eligible securities only from a Vision non-retirement account to a client account at another firm or financial institution. Note: Partial shares and mutual funds are not DTC eligible.

Please send the completed form to Global@cfi.trade

Account Number

Social Security / Tax ID Number

Account Registration

Type of Delivery

Gift

Common Owner

Death Distribution (Tax lot selection not available)

Cost Basis Information

Use the optional (*) Tax Lot Trade Date and Tax Lot Price fields to select specific lots. If these fields are NOT specified, Vision will deliver the securities using the default first in, first out (FIFO) method.

I hereby authorize Vision to act on my behalf in accordance with the following delivery instructions. Please deliver the following security(ies):

Symbol / Cusip	Security Description	Share Quantity	Tax Lot Trade Date*	Tax Lot Price*

Receiving Broker / Dealer Information

DTC Number (4 Digits)

Account Number

Account Title

Receiving Institution Phone Number and Contact Information (additional information may be required)

Relationship to Owner (Request is subject to Vision's AML / fraud prevention policies)

Please Sign Below:

I hereby confirm that I authorize the delivery thereto.

Client Signature

Print Client Name

Date

Approved By:

Registered Principal Signature

Print Registered Principal Name

Date

Margin Officer Signature

Print Margin Officer Name

Date